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Risk Questionnaire Report for Bob and Olivia Mason

October 16, 2017

RISK TOLERANCE

You completed a risk questionnaire using advanced quantitative risk technology on October 13, 2017. The results were as follows.

On a scale of 1 to 99, with higher numbers indicating higher risk tolerance, your answers to the risk questionnaire resulted in a score of 42.



This means that over the next six months, you are comfortable risking a loss of -7% or -\$298,400, in exchange for the chance of making a gain of +12% or +\$488,800.

This range describes the “comfort zone” for your investments. Over the next six months, it represents a hypothetical target that you would prefer to keep your investments within. There is no guarantee any investments would perform within the range.

While D3 Financial Counselors will target the customized investment strategy and specific portfolio allocation illustrated below, the advisor retains the flexibility to modify security selection and weighting within the respective sub asset classes and/or move to different managers and/or different securities to take advantage of and respond to risks associated with market and economic conditions as well as relative performance data.

ANSWERS TO RISK QUESTIONS

When completing your risk questionnaire on October 13, 2017, you provided the following information about your risk tolerance:

Investment Amount = \$4,000,000

Acceptable Six Month Risk = \$301,854

Devastating Loss = \$800,000

Devastation Amount = \$3,200,000

DETAILED RISK FINGERPRINT

1 = Chose -20.0% / +150.0% risk over +10.0% certainty

2 = Chose +37.5% certainty over -20.0% / +150.0% risk

3 = Chose +23.8% certainty over -20.0% / +150.0% risk

4 = Chose +16.9% certainty over -20.0% / +150.0% risk

5 = Chose -6.1% certainty over -20.0% / +13.4% risk

6 = Chose -20.0% / +13.4% risk over -13.0% certainty

7 = Chose -9.6% / +13.4% risk over 0.0% certainty

8 = Chose +1.0% certainty over -9.6% / +13.4% risk

INVESTMENT OBJECTIVES

When completing your risk questionnaire on October 13, 2017, you selected the following objectives for your investments:

Retirement

It is critically important that you keep your advisor updated when your investment objectives change.

AGE AND RETIREMENT HORIZON

When completing your risk questionnaire on October 13, 2017, you provided the following data about your age and retirement horizon:

You were born in 1950. You intend to retire at 68.

It is critically important that you keep your advisor updated if your retirement objectives begin to change for any reason.

All investments are uninsured and can lose value.

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